



Republic of the Philippines
Department of Justice
BUREAU OF CORRECTIONS
City of Muntinlupa



REQUEST FOR PROPOSAL

Date: _____
RFP No.: 19-02-042

Name of Company: _____
Address: _____
Name of Store/Shop: _____
Address: _____
Business Permit No.: _____
TIN No.: _____
PhilGEPS Registration No.: (required) _____

Please quote your best offer for the item/s described below, subject to the Terms and Conditions as stated hereunder.

- a.) Bidders shall provide correct and accurate information required in this form.
- b.) Bidders may quote for any or all the items.
- c.) Price quotation/s must be valid for a period of *One Hundred Twenty (120) calendar days* from the date of submission.
- d.) Price quotation/s, to be denominated in Philippine peso shall include all taxes, duties and/or levies payable.
- e.) Quotations exceeding the Approved Budget for the Contract shall be rejected.
- f.) Award of contract shall be made to the lowest quotation (for goods and infrastructure) or, the highest rated offer (for consulting services) which complies with the minimum technical specifications and other terms and conditions stated herein.
- g.) Any alterations, erasures or overwriting shall be valid only if they are signed or initiated by you or any of your duly authorized representative/s.
- h.) The item/s shall be delivered within **Fifteen (15) calendar days** from receipt of approved purchase order.
- i.) The BuCor shall have the right to inspect and/or to test the goods to confirm their conformity to the technical specifications.
- j.) Liquidated damages equivalent to one tenth of one percent (0.1%) of the value of the goods not delivered within the prescribed delivery period shall be imposed per day of delay. The BuCor shall rescind the contract once the cumulative amount of liquidated damages reaches ten percent (10%) of the amount of the contract, without prejudice to other courses of action and remedies open to it.
- k.) Bidders shall submit the required documents together with their bid price in a sealed envelope.
- l.) **Submission thru e-mail and fax quotation will not be entertained/accepted. Sealed quotation thru mail or submit it personally.**

REQUIREMENTS TO BE SUBMITTED

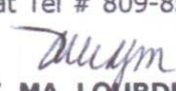
- m.) **Valid & Current Mayor's/Business permit**
- n.) **Valid & Current PhilGEPS Registration Number**
- o.) **Valid, Current and Complete Income Tax Return filed thru EFPS**
- p.) **Duly Notarized Omnibus Sworn Statement and must be supported by an Authority to Signatory**
- q.) **Duly Conformed Terms of Reference**

NOTE:

BIDDERS STATEMENT OF COMPLIANCE ON THE TERMS & CONDITIONS AND REQUIREMENTS AS STATED ABOVE

Signature over Printed Name
Authorized Representative or Sole Proprietor

Submit your sealed quotation duly signed by you or your duly representative not later than **June 14, 2019** at the BAC Office, Bureau of Corrections at **1:00 P.M.** Late submission of bids shall be rejected. For further information, you can call the BAC Secretariat c/o WILLIAM M. TERRADO at the Supply Office, Bureau of Corrections, Muntinlupa City at Tel # 809-8587/478-0907 from 8:00 am to 5:00pm, Monday to Friday


CTCSUPT. MA. LOURDES M. RAZON, MD
Chairperson, Bids and Awards Committee

After having carefully read and accepted the Terms and Conditions, I/we submit our quotation/s for the item/s as follows:

REQUEST FOR PROPOSAL # 19-02-042

Procurement Project	Approved Budget for the Contract (ABC)
Services for the 3 rd party Surveillance Audit for the Maintenance if ISO 9001:2015	Three Hundred Thousand Pesos Only (Php 300,000.00)

QTY	UNIT	DESCRIPTION	Compliance to the Technical specifications (Please check)		Approved Budget of the Contract (ABC)	Offered Quotation (per unit)	Offered Quotation (total)
			YES	NO			
1	Lot	Services for the 3 rd party Surveillance Audit for the Maintenance if ISO 9001:2015			300,000.00		

SIGNATURE OVER PRINTED NAME OF SOLE PROPRIETOR OR REPRESENTATIVE
POSITION/DESIGNATION: _____
OFFICE TELEPHONE NO: _____
MOBILE PHONE NO./FAX NO.: _____
EMAIL ADDRESS/ES: _____

Omnibus Sworn Statement

REPUBLIC OF THE PHILIPPINES)
CITY/MUNICIPALITY OF _____) S.S.

AFFIDAVIT

I, *[Name of Affiant]*, of legal age, *[Civil Status]*, *[Nationality]*, and residing at *[Address of Affiant]*, after having been duly sworn in accordance with law, do hereby depose and state that:

1. *Select one, delete the other:*

If a sole proprietorship: I am the sole proprietor or authorized representative of *[Name of Bidder]* with office address at *[address of Bidder]*;

If a partnership, corporation, cooperative, or joint venture: I am the duly authorized and designated representative of *[Name of Bidder]* with office address at *[address of Bidder]*;

2. *Select one, delete the other:*

If a sole proprietorship: As the owner and sole proprietor, or authorized representative of *[Name of Bidder]*, I have full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid, and to sign and execute the ensuing contract for the **Services for the 3rd party Surveillance Audit for the Maintenance if ISO 9001:2015**, as shown in the attached duly notarized *Special Power of Attorney*;

If a partnership, corporation, cooperative, or joint venture: I am granted full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid, and to sign and execute the ensuing contract for **Services for the 3rd party Surveillance Audit for the Maintenance if ISO 9001:2015**, as shown in the attached *[state title of attached document showing proof of authorization (e.g., duly notarized Secretary's Certificate, Board/Partnership Resolution, or Special Power of Attorney, whichever is applicable);]*;

3. *[Name of Bidder]* is not "blacklisted" or barred from bidding by the Government of the Philippines or any of its agencies, offices, corporations, or Local Government Units, foreign government/foreign or international financing institution whose blacklisting rules have been recognized by the Government Procurement Policy Board;
4. Each of the documents submitted in satisfaction of the bidding requirements is an authentic copy of the original, complete, and all statements and information provided therein are true and correct;
5. *[Name of Bidder]* is authorizing the Head of the Procuring Entity or its duly authorized representative(s) to verify all the documents submitted;

6. *Select one, delete the rest:*

If a sole proprietorship: The owner or sole proprietor is not related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

If a partnership or cooperative: None of the officers and members of *[Name of Bidder]* is related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project

Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

If a corporation or joint venture: None of the officers, directors, and controlling stockholders of [Name of Bidder] is related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

7. [Name of Bidder] complies with existing labor laws and standards; and
8. [Name of Bidder] is aware of and has undertaken the following responsibilities as a Bidder:
 - a) Carefully examine all of the Bidding Documents;
 - b) Acknowledge all conditions, local or otherwise, affecting the implementation of the Contract;
 - c) Made an estimate of the facilities available and needed for the contract to be bid, if any; and
 - d) Inquire or secure Supplemental/Bid Bulletin(s) issued for the **Services for the 3rd party Surveillance Audit for the Maintenance of ISO 9001:2015**
9. [Name of Bidder] did not give or pay directly or indirectly, any commission, amount, fee, or any form of consideration, pecuniary or otherwise, to any person or official, personnel or representative of the government in relation to any procurement project or activity.

IN WITNESS WHEREOF, I have hereunto set my hand this ___ day of ___, 20__ at _____, Philippines.

Bidder's Representative/Authorized Signatory

SUBSCRIBED AND SWORN to before me this ___ day of [month] [year] at [place of execution], Philippines. Affiant/s is/are personally known to me and was/were identified by me through competent evidence of identity as defined in the 2004 Rules on Notarial Practice (A.M. No. 02-8-13-SC). Affiant/s exhibited to me his/her [insert type of government identification card used], with his/her photograph and signature appearing thereon, with no. _____ and issued on _____ at _____.

Witness my hand and seal this ___ day of [month] [year].

NAME OF NOTARY PUBLIC

Serial No. of Commission _____
Notary Public for _____ until _____
Roll of Attorneys No. _____
PTR No. _____ [date issued], [place issued]
IBP No. _____ [date issued], [place issued]

Doc. No. _____
Page No. _____
Book No. _____
Series of _____

TERMS OF REFERENCE

For the Engagement of Services of a Certifying Body to Provide Surveillance Audits for the Quality Management System (QMS) of the Bureau of Corrections

I. PURPOSE

The purpose of this Terms of Reference (TOR) is to engage the services of a Certifying Body that will conduct assessment and surveillance audit for the maintenance of the BuCor Quality Management Systems and in preparation for recertification audit.

II. BACKGROUND

In line with the need for government agencies to improve quality in their operations and service delivery, Executive Order No. 605: Institutionalizing the Structure, Mechanisms and Standards to Implement the Government Quality Management Program (GQMP), was issued on February 23, 2007 to all departments and agencies of the executive branch, including all Government Owned and/or Controlled Corporations (GOCCs) and Government Financial Institutions (GFIs) to enhance public sector efficiency and customer satisfaction.

The Philippine Development Plan (PDP) 2017-2012 strengthens compliance to E.O. No. 605 to enhance and sustain government performance in the delivery of quality services by upgrading the Government Quality Management Systems (QMS) to be more client- and citizen-oriented and driving agencies towards performance excellence to boost citizen trust in government. Thus, GQMP is considered a tool and among the priorities to ensure people centered, clean and efficient governance.

In 2018, the BuCor has successfully fulfilled the requirement and was issued an ISO 9001:2015 QMS Certificate per Registration No. TUV SUD PSB-PH 100 01 0029, this was eventually posted in the BuCor's Transparency Seal. With the aim to render excellent service and continuously improve performance, BuCor will pursue the maintenance of its QMS.

III. OBJECTIVE

The overall objective of the surveillance audit/visits is for the certification body to find out whether BuCor's management system really works in everyday operations, all corrective and preventive actions are properly recorded and implemented, whether the top management supports the system. A surveillance visit will also focus on issues that were identified as weak in the certification audit or previous surveillance visit – minor nonconformities, as well as areas where the auditor has made some observations

IV. SCOPE OF WORK

The Surveillance Audits shall cover the BuCor's provision of Admission and Release of PDLs (Persons Deprived of Liberty, formerly inmates), Provision of Safekeeping and Security, Reformation Programs, Moral and Spiritual, Education and Training, Medical Services, Sports and Recreation, Livelihood, Behavior Modification at the BuCor Operating Prisons and Penal Farm sites, namely: a) NHQ-New Bilibid Prison, Muntinlupa City b) Iwahig Prison & Penal Farm, Puerto Princesa City, Palawan; c) Sablayan Prison and Penal Farm, Occidental Mindoro and d) Leyte Regional Prison, Abuyog, Leyte.

V. CLIENT'S RESPONSIBILITY

On behalf of Bureau of Corrections, the BuCor ISO-QMS Core Project Team or his duly designated representative, shall evaluate the quality of work delivered by the Surveillance Auditors based on this TOR to ensure the quality and relevance of work being conducted, and based on this, shall issue a written project acceptance/approval, retention, or discontinuance.

The following comprise the general expectations from BuCor, as client:

1. Prior to any execution of activities related to this Terms of Reference, the BuCor ISO Core Team shall convene a meeting between the representatives of the Surveillance Auditors and the BuCor point persons to be assigned to handle this project. A close anchoring and monitoring of all the activities as indicated herein shall be undertaken by the BuCor ISO Core Team in coordination with the Head of the Operating Prisons and Penal Farms (OPPFs);
2. The ISO Core Team and its Secretariat shall be responsible for providing technical assistance for the project. They shall closely coordinate with the Surveillance Auditors in the conduct of the Surveillance Audit and other related activities, including monitoring of the progress of the various tasks;
3. The OPPFs Head/QMR, Head of Division/Office and ISO Core Team (OPPFs counterpart) shall cooperate in the conduct of audit activities, ensuring that the process owners and concerned officials and staff are available on the scheduled dates of audits. For any request for change or cancellation of schedule, however, at least a one (1) week notice shall be given and the said change/ adjustment shall be made based on mutual agreement by both parties; and
4. Provision of accommodation, meals, work space, desktop computer/laptop, transportation service to fetch and transport back the Surveillance Auditors to his/her office and/or as may be needed in the course of the project.

VI. CERTIFYING BODY'S RESPONSIBILITY

The Surveillance Auditors/Team shall provide information that indicates experience, educational/training qualifications and capacity to undertake the work outlined herein, within the specified timelines. As part of this, the consultant is expected to provide an indication of public QMS-related engagements, as well as QMS certification audit engagements that are currently committed, ongoing or completed. This will be considered in the assessment of the Body's QMS-related qualification.

The Certifying Body undertakes to perform the Surveillance Audit with the highest standards of professional and ethical competence and integrity.

The following are the general expectations from the Certifying Body:

1. Commitment to treat with utmost confidentiality, all information and materials gathered and used relating to this engagement or the Client's business or operations;
2. Preparation of the Surveillance Audit Plan, as the case may be, with schedule of activities for the entire duration of the engagement. The representatives from the Certifying Body shall coordinate with the Head of OPPF/QMR through the ISO Core Team Secretariat regarding any changes on the dates of audit schedules or any delay in the activities related to Surveillance Audit;
3. Adherence to surveillance audit schedule/appointment and any changes or adjustments of schedules as may be agreed upon. For any request for change or cancellation of schedule, however, at least a one (1) week notice shall be given and the said change/adjustment shall be made based on mutual agreement by both parties;
4. Provision of information on any conflicts of interest and proposed approach to the resolution thereof;
5. The duly authorized representative of the Surveillance Auditors shall submit an audit report after conducting the surveillance audits, as the case may be, on mutually agreed schedules; and
6. Conduct of surveillance audits.

VII. CERTIFYING BODY'S QUALIFICATION REQUIREMENTS

The Certifying Body shall show proof or sample work to support the following qualification requirements:

A. Qualification and Competencies

1. The Certifying Body must be duly accredited by the Department of Trade and Industry- Philippine Accreditation Bureau (DTI-PAB) with PNS ISO/IEC 17021-1:2015 to provide QMS certification to ISO 9001:2015 for L75: Public Administration. Furthermore, the Certifying Body shall have a locally SEC-registered office accredited to both the DTI-PAB and an international accreditation body;
2. The Certifying Body must have the following:
 - a. Minimum of five (5) years of experience in conducting ISO QMS audits/certification; and b. Minimum of ISO QMS government certification projects conducted.
3. The Certifying Body shall field a team (1 lead auditor and at least 2 auditors) with the following qualifications:

Criteria for Technical Evaluation	Qualifications
Lead Auditor	<ul style="list-style-type: none"> • Degree relevant to the job. • At least five (5) similar projects (that is, ISO QMS Certification for government institutions); and at least 5 relevant projects (that is, ISO QMS Certification for private companies).
Audit Team Members	<ul style="list-style-type: none"> • Degree in relevant to the job. • At least three (3) similar projects (that is, ISO QMS Certification for government institutions); and at least 3 relevant projects (that is, ISO QMS Certification for private companies)

B. Documentary Requirements/Submissions

Interested firms are required to submit one (1) original and four (4) copies of the following in three (3) separate sealed envelopes:

1. Eligibility Requirements:
 - a. DTI-PAB certification/accreditation;
 - b. SEC registration;
 - c. Mayor's/Business permit issued by the city or municipality where the principal place of business of the prospective bidder is located, or the equivalent document for Exclusive Economic Zones or Areas. In cases of recently expired Mayor's/Business permits, it shall be accepted together with the official receipt as proof that the bidder has applied for renewal within the period prescribed by the concerned local government unit: Provided, That the renewed permit shall be submitted as a post qualification requirement in accordance with Section 34.2 of the IRR of RA 9184;
 - d. PhilGEPS registration certificate/number;
 - e. Statement of the consultant specifying its nationality and confirming that those who will actually perform the service are registered professionals authorized by the appropriate regulatory body to practice these professions and allied professions;
 - f. Income/Business Tax Returns; and
 - g. Omnibus Sworn Statement .

2. Technical Proposal:

- a. List of ongoing and completed certification projects;
- b. Curriculum vitae of the proposed certification audit team with audit experience relevant to this project;
- c. Certificate of satisfactory service from at least one (1) of its previous government clients on delivering quality output on time; and
- d. Complete and clear scope of work and implementation methodology, including team composition and tasks , time schedule for professional personnel , and activity work schedule .

3. Financial Proposal: Financial Proposal

The envelopes shall be properly marked as “Eligibility Requirements Original”, “Technical Proposal-Original” and “Financial Proposal-Original” and shall bear the name of the procurement and the Consultant. All envelopes marked original shall be enclosed in a single envelope marked “Original Submission” and shall bear the name of the procurement and the Consultant.

C. Evaluation and Selection Criteria

- 1. **Evaluation Procedure.** Pursuant to RA No. 9184 and its Revised IRR, the proposals shall be evaluated using Quality-Cost Based Evaluation (QCBE), at 80% (Technical Proposal) and 20% (Financial Proposal) allocation ratio;
- 2. **Selection Criteria.** The Certifying Body must attain a hurdle rate of 70% based on the following set of selection criteria for Technical Proposal with their corresponding weight assignment:

Criteria for Technical Evaluation	Weight
A. Applicable Experience and Track Record of the Certifying Body 1. Years of experience in conducting ISO QMS audits/certification; and 2. ISO QMS government certification projects.	40%
B. Qualification of Consultants/Audit Team: ➤ Education ➤ Experience in auditing for ISO QMS certification	40%
C. Plan of Approach and Methodology: Substance of the Proposal ➤ Completeness of the Proposal ➤ Clarity of Methodologies and Approaches	20%
Total	100%

VIII. DURATION OF ENGAGEMENT AND APPROVED BUDGET FOR THE CONTRACT (ABC)

The services of the Surveillance Auditors will be engaged three (3) months. The project is expected to commence upon receipt of the Notice to Proceed. The ABC is Three Hundred Thousand Pesos (P300,000.00) inclusive of all taxes and other charges imposed under applicable laws.

IX. DELIVERABLES AND TERMS OF PAYMENT

- 1. The following services and outputs will be expected from the Surveillance Auditors to be submitted/delivered to the BuCor ISO Project Manager with the timelines specified below and based on the agreed general Work Plan:

Activity	Output	Timeline*
a. Preparation of Surveillance audit plan	Annual surveillance audits, plans, procedures, schedules and reports:	Within 10 days upon CB's receipt of the Notice to Proceed (NTP) *
b. Conduct Surveillance Audit	Surveillance Audit conducted	conducted Within Month 1 upon receipt of NTP
c. Preparation of audit reports	Surveillance Audit Reports	Within Month 1 upon receipt of NTP
d. Evaluation of correction/corrective and preventive actions	Acceptance Report of Correction/Corrective and Preventive Actions ***	Within Month 2 upon receipt of NTP

Notes: *Changes in the project schedule shall be allowed subject to BuCor's approval.
 **Subject to actual submission of correction/corrective and preventive action report.
 ***Subject to satisfactory results of assessment audit.

2. Payment shall be made upon completion of the final output and submission of the required deliverables:

Payment shall be supported by a Certificate of Satisfactory Service based on the work rendered comprising the actual outputs as certified by the Surveillance Auditors and duly accepted by the BuCor ISO Project Manager or his authorized representative.

I/We hereby commit to comply and deliver all the requirement in accordance with the above stated Terms of Reference.

CONFORME:

Name of Company in Print

Signature Printed Name of Authorized Representative

Date